



## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ISHII ET AL.

APPLICATION No.: 10/680,356

FILED: October 6, 2003

FOR: SPATIALLY ENCODED AND MOBILE  
ARRAYS OF TETHERED LIPIDS

EXAMINER: POPA, ILEANA

ART UNIT: 1633

CONF. NO: 5651

**Information Disclosure Statement After First Office Action but Before  
Final Action or Notice of Allowance 37 CFR 1.97(c)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

- Copies of references 1-4 are issued patent(s) and published application(s) and are not included (see C.F.R. § 1.98(a)(2)(i)).
- Copies of References 5-11 will follow under separate cover.

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior

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art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))
  - Applicant elects to pay the fee under 37 CFR 1.17(p) in the amount of \$180.00.
  - Please charge Deposit Account No. 50-2207 in the amount of \$180.00.
  - The Commissioner is hereby authorized to charge any deficiency in fees to ensure timely submission of these papers to Deposit Account No. 50-2207.

5. Patent Term Adjustment (37 C.F.R. § 1.704(d))

- The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,  
Perkins Coie LLP

Date: December 14, 2006

/Jacqueline F. Mahoney/  
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**Acknowledgement Receipt**

The USPTO has received your submission at **20:01:40** Eastern Time on **14-DEC-2006** by Deposit Account: 502207.

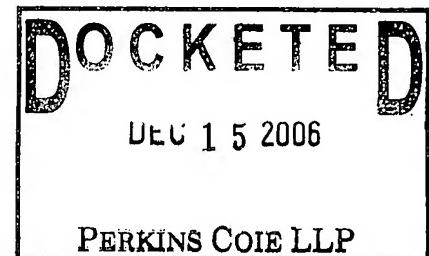
\$ **180** fee paid by e-Filer via RAM with Confirmation Number: 1068.

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Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

**eFiled Application Information**

EFS ID	1376409
Application Number	10680356
Confirmation Number	5651
Title	Spatially encoded and mobile arrays of tethered lipids
First Named Inventor	Chiaki Ishii
Customer Number or Correspondence Address	22918
Filed By	Jacqueline Faith Mahoney
Attorney Docket Number	58600-8229.US00
Filing Date	06-OCT-2003
Receipt Date	14-DEC-2006
Application Type	Utility

**Application Details**

<b>Submitted Files</b>	<b>Page Count</b>	<b>Document Description</b>	<b>File Size</b>	<b>Warnings</b>
58600-8229-US00-A1.pdf	10		87290 bytes	◆ PASS
		<b>Document Description</b>	<b>Page Start</b>	<b>Page End</b>
		Amendment - After Non-Final Rejection	1	1
		Claims	2	4
		Applicant Arguments/Remarks Made in an Amendment	5	10
58600-8229IDS.pdf	2	Information Disclosure Statement (IDS) Filed	57433 bytes	⚠ WARNINGS
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58600-8229-1449.pdf	1	Information Disclosure Statement (IDS) Filed	87711 bytes	⚠ WARNINGS
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